



State-of-the-art in earthquake early warning and implications of real-time OBS deployments

Georgia Cua

Swiss Seismological Service, ETH Zürich

contributions from

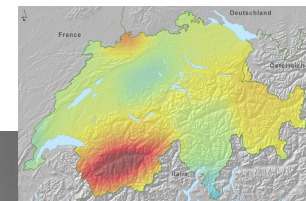
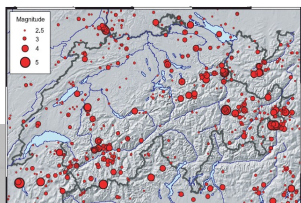
Richard Allen, *UC Berkeley*

Masumi Yamada, Jim Mori *DPRI, Kyoto University*

Hiroo Kanamori, *Caltech*

Andreas Krause, *ETH Zürich/Caltech*

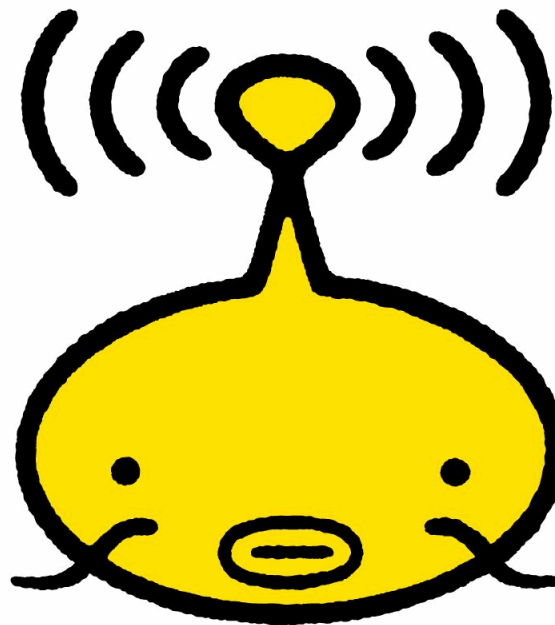
California Integrated Seismic Network EEW Team



Outline

- What is Earthquake Early Warning (EEW)
- JMA system & performance during M9.0 Tohoku earthquake
- EEW efforts in California
- EEW in Europe
- Conclusions and Outlook

“Kinkyu Jishin Sokohu”



緊 急 地 震 速 報
来 る 前 に 知 る

Namazu = in Japanese mythology, a giant catfish who causes earthquakes

EEW is not a new idea...

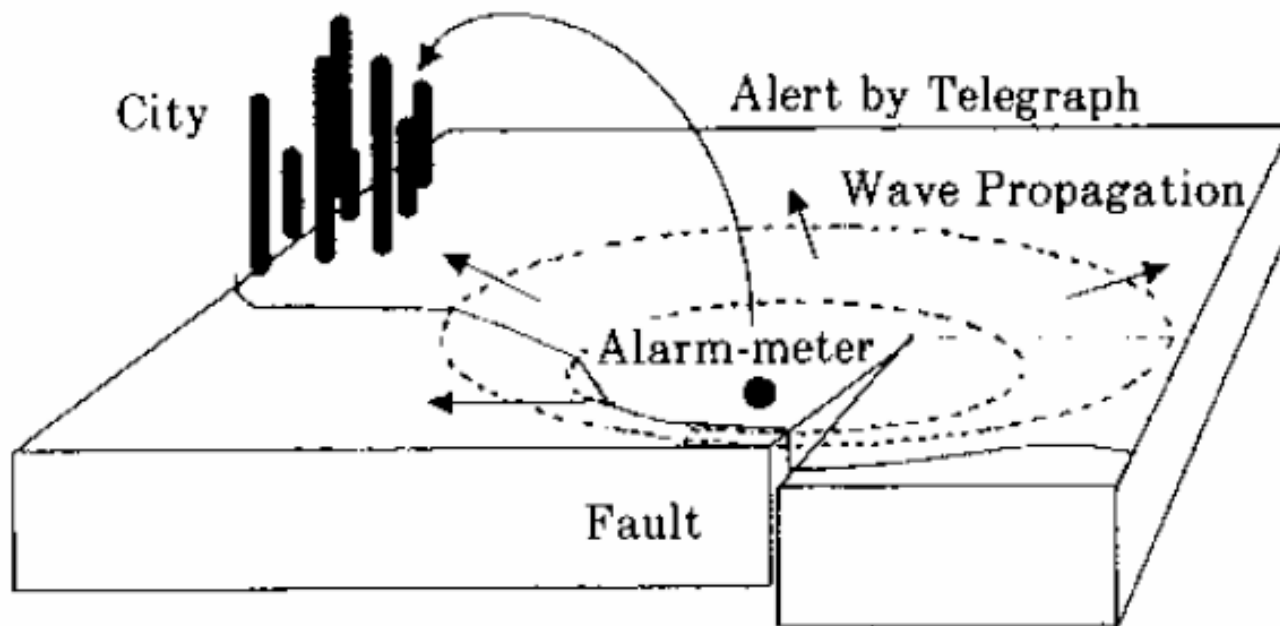
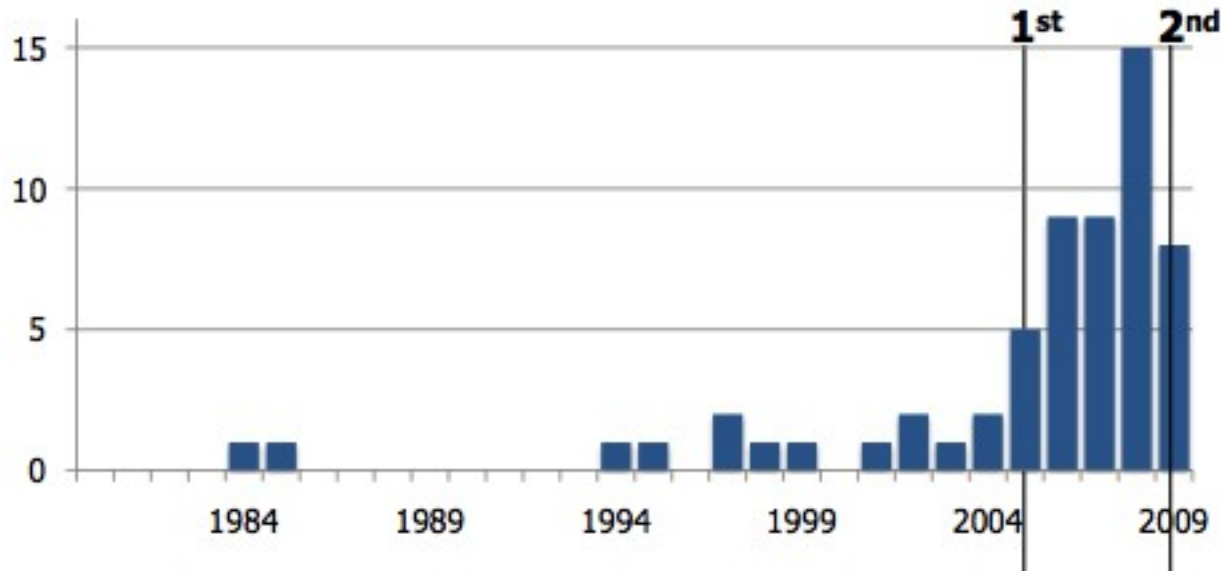


Fig. 1 Concept of the Front Alarm by Dr. J. D. Cooper.

from J. D. Cooper, **1868**
courtesy of H. Negishi, NIED

Accelerating early warning development

Number of early warning publications per year



2005: 1st International EEW conference, Caltech

2009: 2nd International EEW conference, Kyoto

2011: EEW Summit, UC Berkeley

Development projects

California: CISEN ShakeAlert

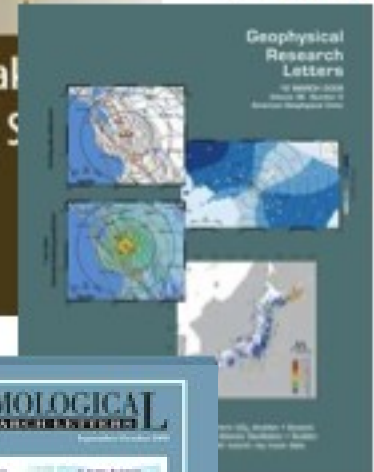
Europe: SAFER / REAKT

Japan: Implementation of public warning

2007 Springer



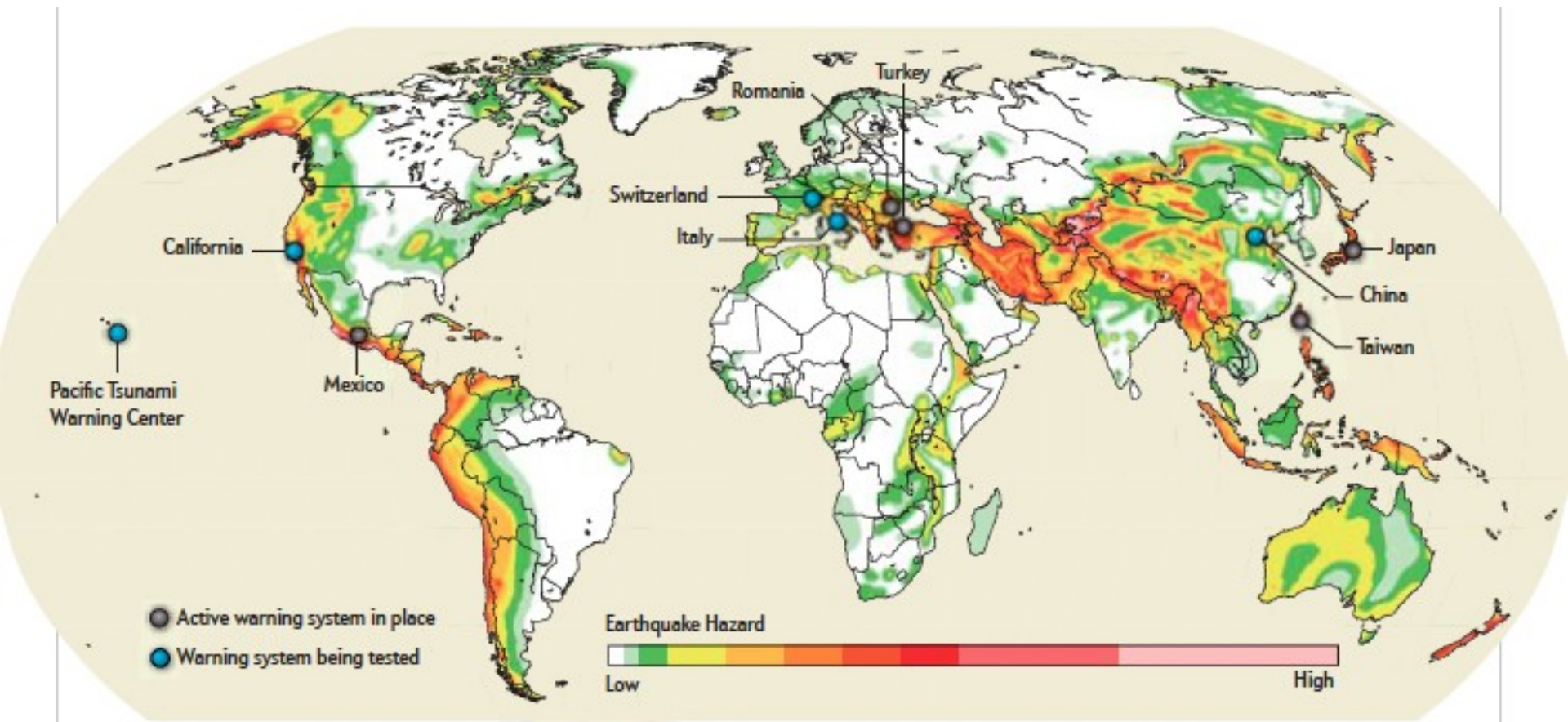
2009 Geophys Res Lett



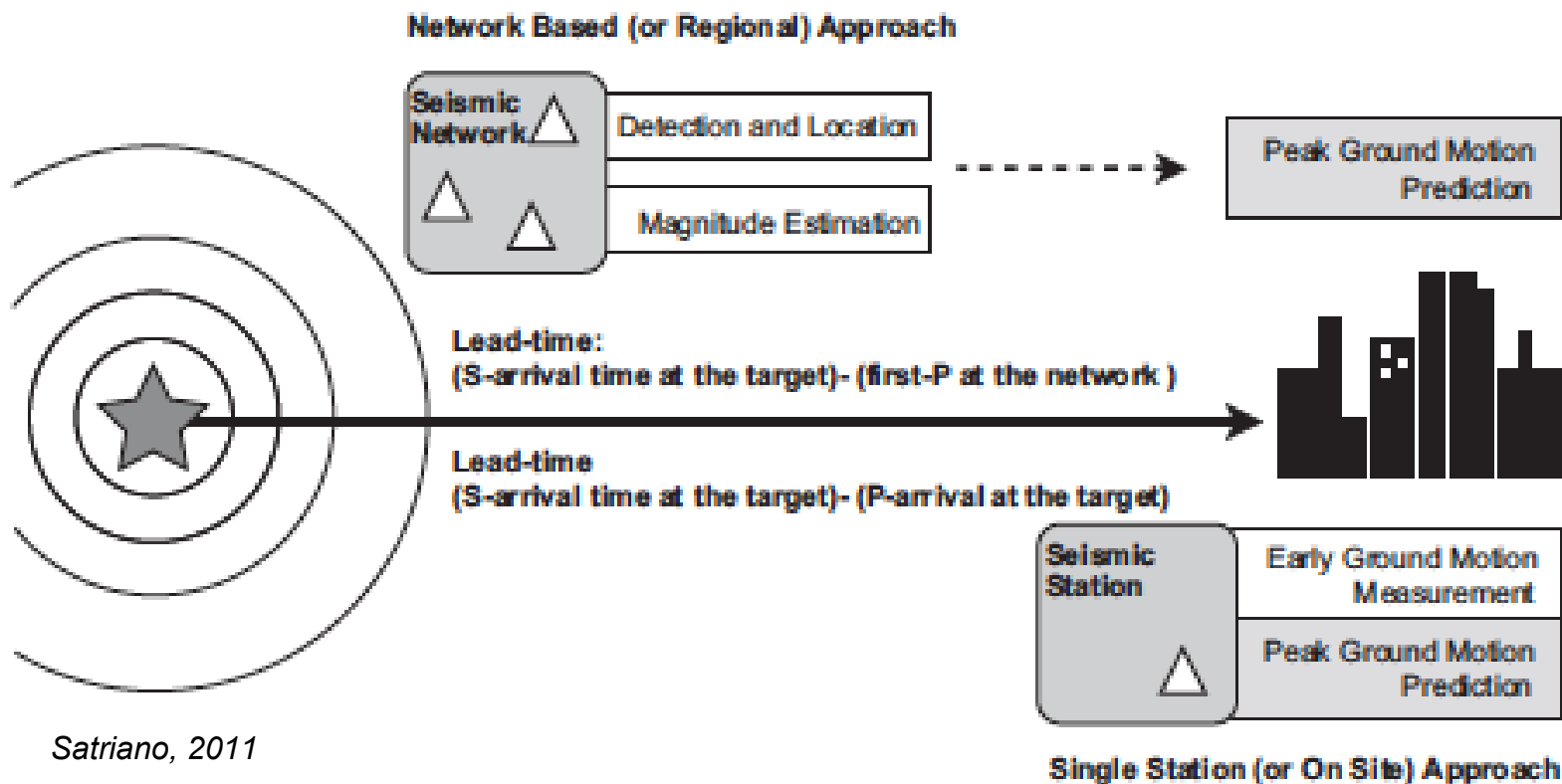
2009 Sep/Oct Seismo Res Lett

2011 Soil Dyn Earthquake Eng

EEW around the world



Regional and single-station EEW



Advanced users and general public



EEW in Japan

- Japan Meteorological Agency (JMA)
- Meteorological Service Law (2007.12.1) states that only JMA can release EEW information to public
- Combination of single station and regional approaches
- HomeSeismometer

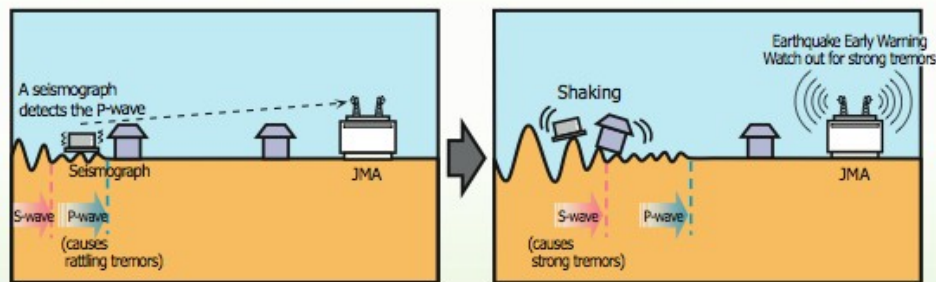
Earthquake Early Warning

or “緊急地震速報 (Kinkyu Jishin Sokuho)” in Japanese

A New Advance Earthquake Alert

Starting 1 October 2007

As of 1 October 2007, the Japan Meteorological Agency (JMA) will start the Earthquake Early Warning, a new service that advises of strong tremors before they arrive.



- The Earthquake Early Warning system automatically calculates the focus and magnitude of the earthquake and estimates the seismic intensity for each location by detecting the quake (i.e. the P-wave, or the preliminary tremor) near its focus. An Earthquake Early Warning is then given a matter of seconds (i.e. a few seconds to a few tens of seconds) before the arrival of strong tremors (i.e. the S-wave, or principal motion).
- Earthquake Early Warnings will be provided through various media outlets such as TV and radio.
- Please note that strong tremors may arrive at the same time as the Earthquake Early Warning in areas that are close to the focus of the earthquake.

2007

Japan Meteorological Agency
Ministry of Land, Infrastructure and Transport

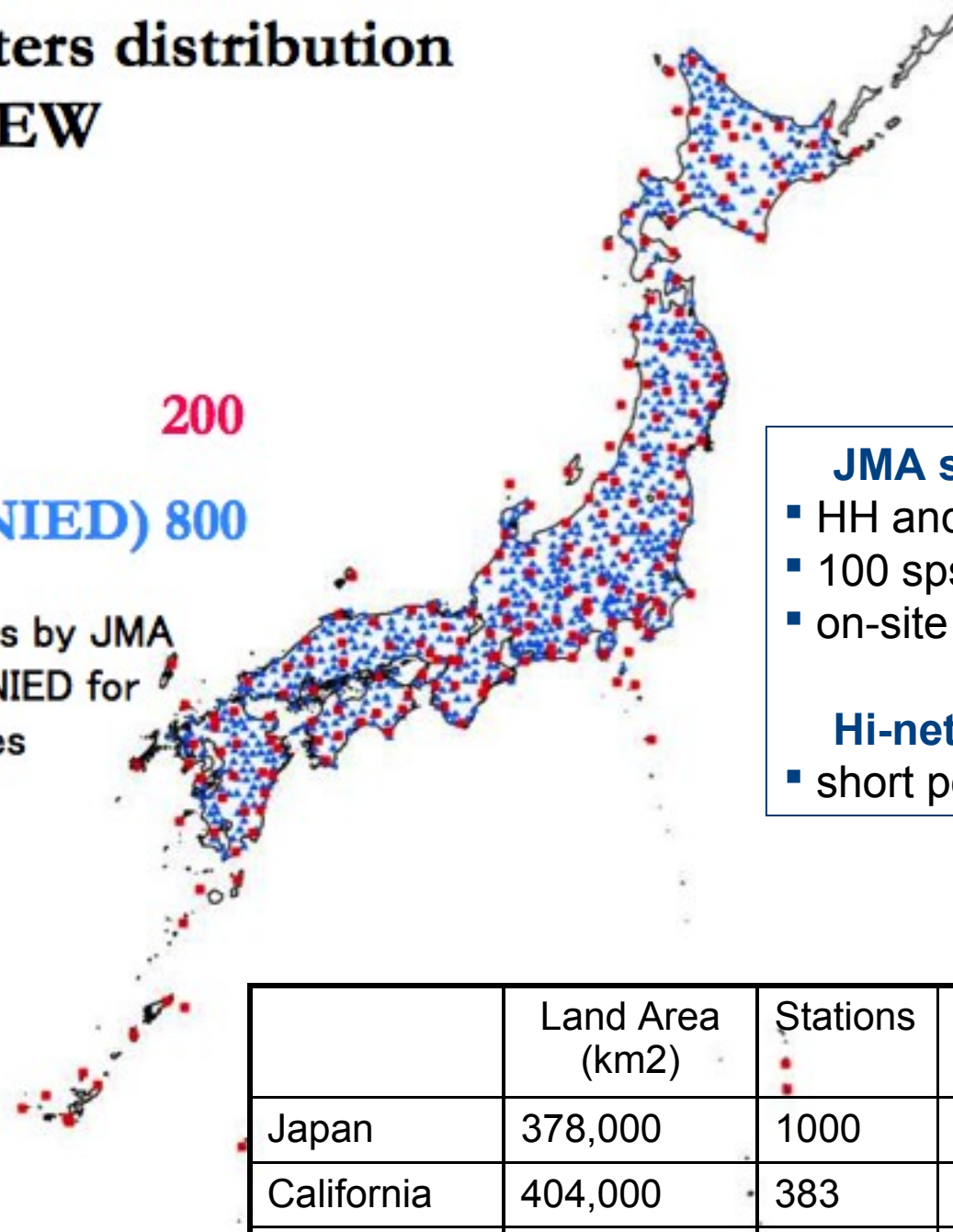
Seismometers distribution used for EEW



■ JMA 200

▲ Hi-net(NIED) 800

Data from 200 stations by JMA with 800 stations by NIED for location of earthquakes

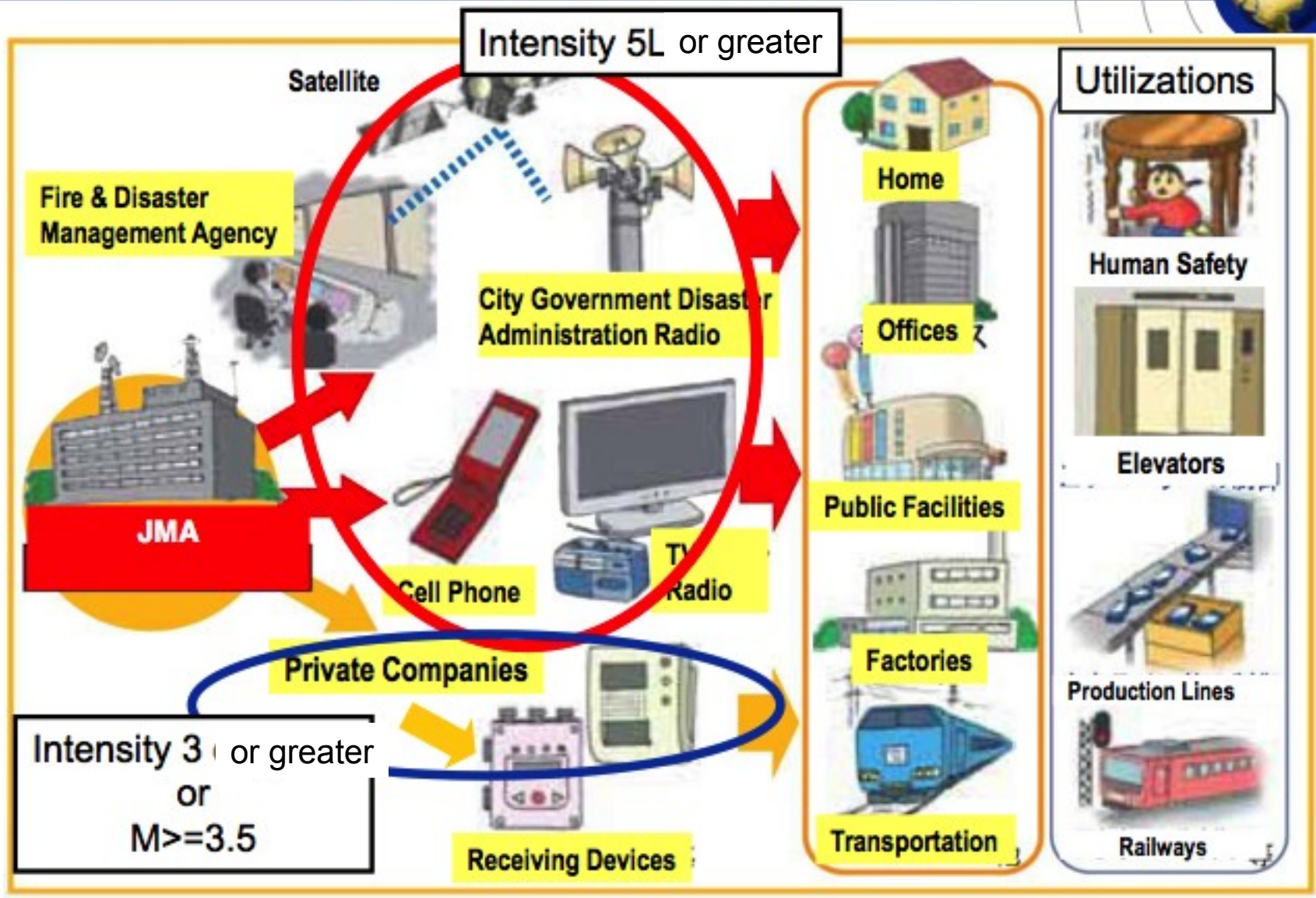


- JMA stations**
 - HH and HG channels
 - 100 sps, 24 bit
 - on-site processing
- Hi-net stations**
 - short period stations

from Keiji Doi, JMA

| | Land Area (km ²) | Stations | Ave. interstation spacing (km) |
|-------------|------------------------------|----------|--------------------------------|
| Japan | 378,000 | 1000 | 20 |
| California | 404,000 | 383 | 33 (non-unif) |
| Switzerland | 39,769 | 46 | 33 (non-unif) |

Dissemination of EEW

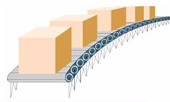




Possible EEW applications ...



Controlling trains



Controlling factory lines
--> To mitigate damage



To prevent traffic accidents



Controlling elevators
--> To prevent people from being trapped



At home
--> To enable personal protection



Workers performing hazardous tasks
--> To secure safety



Alerting schools and assembly hall
--> To guide evacuation



Suspending work in progress
--> To avoid mistakes

Extensive public education campaign

At Home

- Protect your head and shelter under a table
- Don't rush outside
- Don't worry about turning off the gas in the kitchen



In Public Buildings

- Follow the attendant's instructions
- Remain calm
- Don't rush to the exit



Earthquake Early Warning: Dos & Don'ts

When Driving

- Don't slow down suddenly
- Turn on your hazard lights to alert other drivers, then slow down smoothly
- If you are still moving when you feel the earthquake, pull safely over to the left and stop

Remain calm, and secure your personal safety based on your surroundings!

After seeing or hearing an Earthquake Early Warning, you have only a matter of seconds before strong tremors arrive. This means you need to act quickly to protect yourself.



Outdoors



- Look out for collapsing concrete-block walls
- Be careful of falling signs and broken glass
- Take shelter in a sturdy building if there is one close enough

On Buses or Trains

Hold on tight to a strap or a handrail



In Elevators

Stop the elevator at the nearest floor and get off immediately



Near Mountains/Cliffs

Watch out for rockfalls and landslides



For more information about the Earthquake Early Warning system, please contact the following department or visit the agency's website.
Administration Division, Seismological and Volcanological Department
Japan Meteorological Agency

Address: 1-3-4 Otemachi, Chiyoda-ku, Tokyo 100-8122

Phone: 03-3212-8341

Website: <http://www.jma.go.jp/jma/indexe.html>



The Earthquake Early Warning system has been made possible through joint technological development by the Japan Meteorological Agency and the Railway Technical Research Institute, as well as through achievements in technological development by the National Research Institute for Earth Science and Disaster Prevention.



JMA detection rate

First 3 years: 2007-2010

- 21 warnings
- 9 warnings for $M > 6.0$ earthquakes

| | M | Predicted Intensity | Observed Intensity | P-to-warning time (sec) | |
|---------------|-----|---------------------|--------------------|-------------------------|-----------|
| May 8, 2008 | 7.0 | 5- | 5- | 58.3 | |
| Jun 14, 2008 | 7.2 | 6+ | 6+ | 4.5 | |
| July 8, 2008 | 6.1 | 5- | 5- | 13.9 | |
| July 24, 2008 | 6.8 | 5- | 6- | 20.8 | |
| Sep 11, 2008 | 7.1 | 5+ | 5- | 9.7 | |
| Aug 11, 2009 | 6.5 | 5+ | 6- | 3.8 | |
| Oct 30, 2009 | 6.8 | 5- | 4 | 26.8 | ← “false” |
| Feb 27, 2010 | 7.2 | 6- | 5- | 4.1 | ” |
| Mar 14, 2010 | 6.7 | 5- | 5- | 3.6 | |

→ one false, zero missed

Warning threshold: JMA intensity 5- (equivalent to MMI 8-9)

JMA

Earthquake Warning

M9.0 Pacific coast of Tohoku

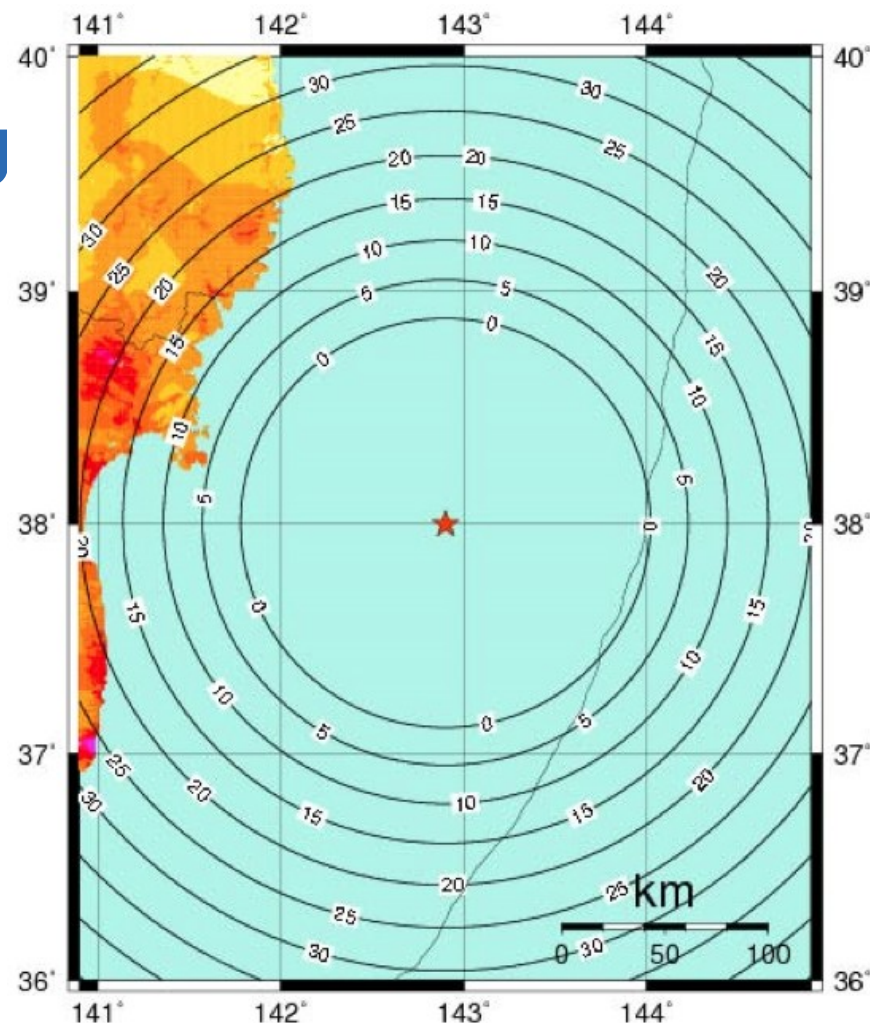
Origin time: 14:46:18.1

First detection: +22.1 s (M4.3)

EEW Warning issued: +30 s (M7.2)

Tsunami warning issued: +3 min

→ Sendai had 15-20 sec warning before the strong motion, and 15 minutes warning before tsunami



4 5弱 5強 6弱 6強 7

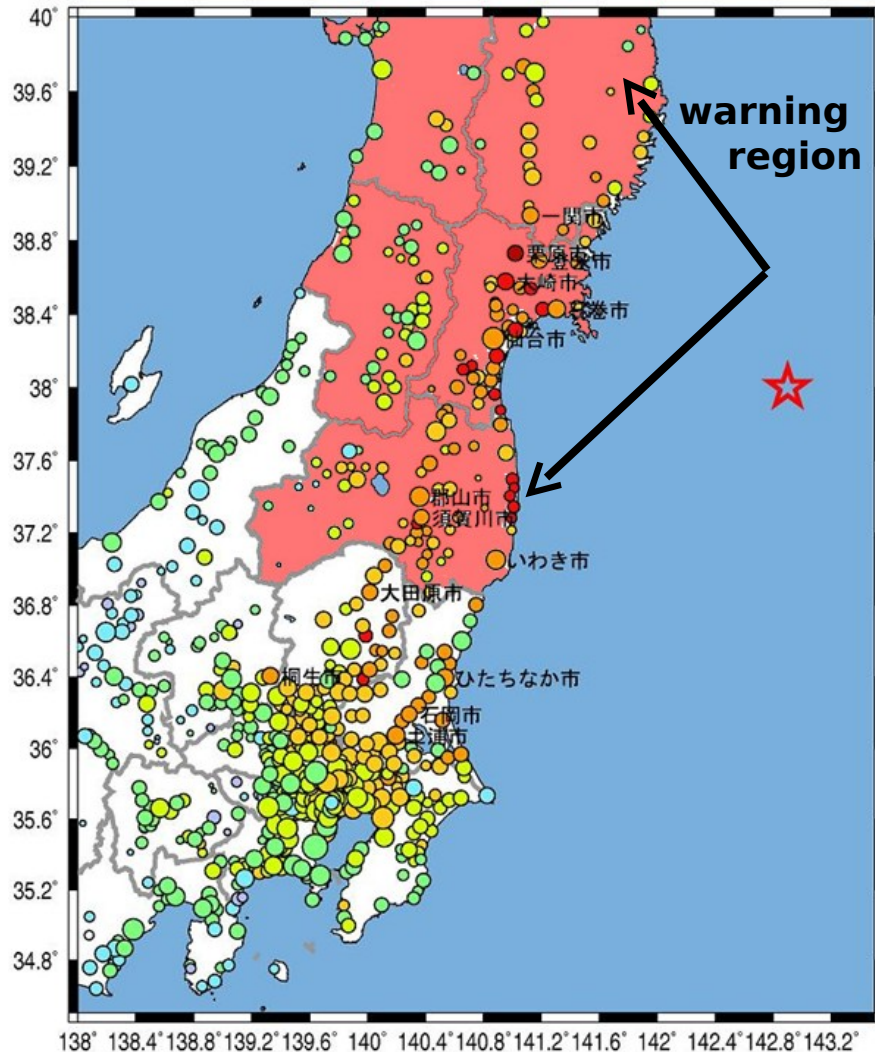
★ : 震源

Richard Allen

Warning information:

http://www.seisvol.kishou.go.jp/eq/EEW/kaisetsu/joho/20110311144640/content/content_out.html

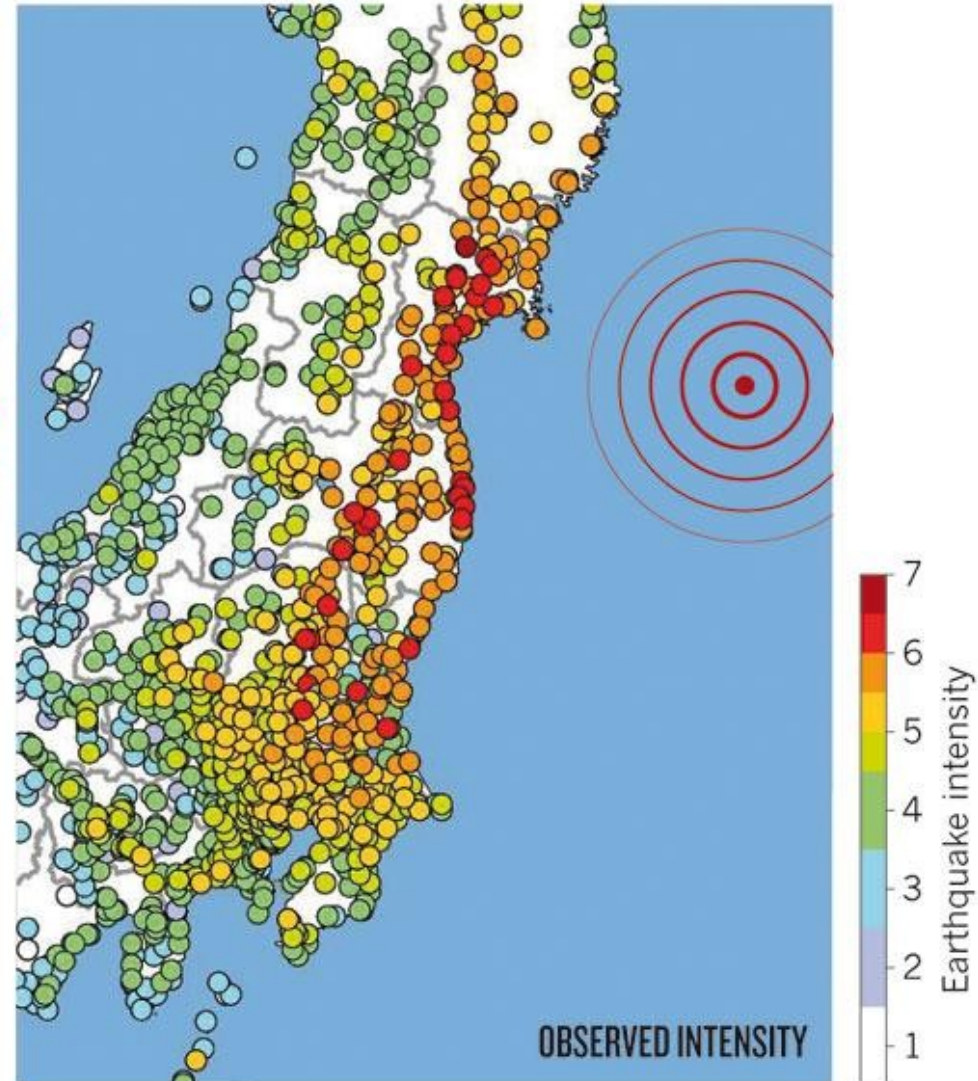
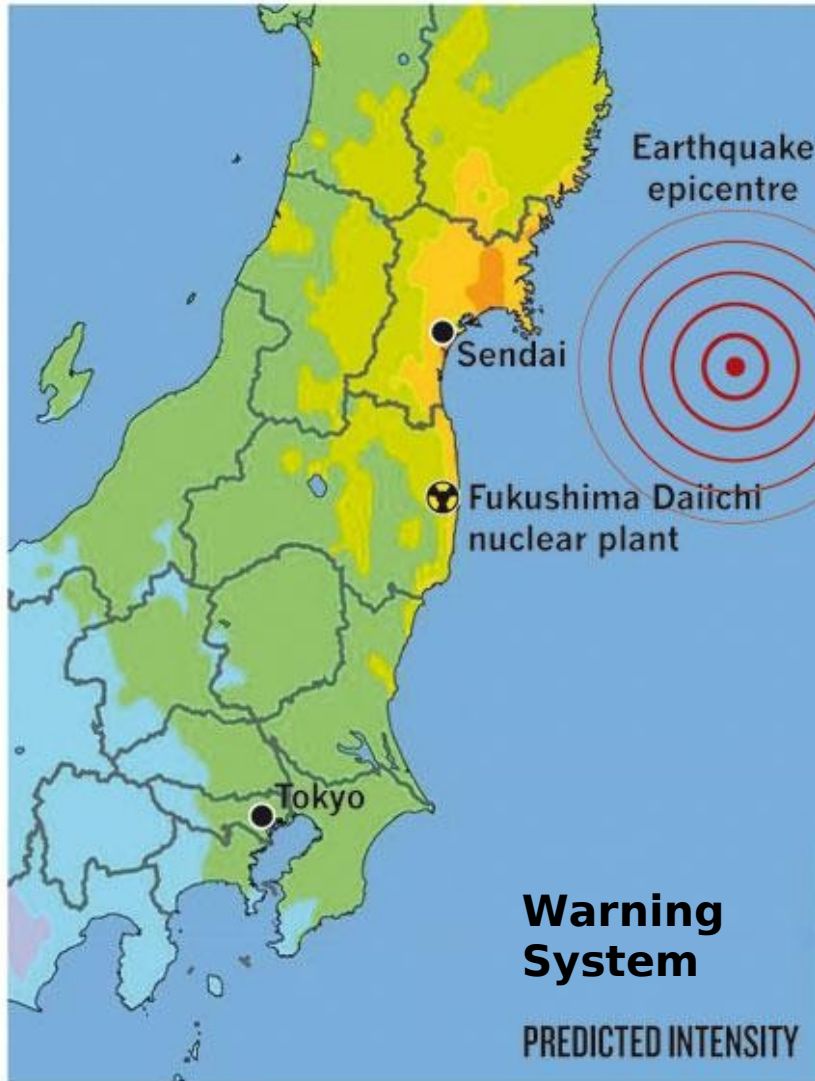
Cellphone, TV and radio warnings



| JMA Intensity (MMI) | Population Exposure |
|---------------------|---------------------|
| 7 (11-12) | 80k |
| 6+ (10) | 510k |
| 6- (9) | 4247k |
| 5+ (8) | 11163k |
| 5- (7) | 20614k |
| 4 (6) | 18206k |
| 3 (5) | 25906k |

- 52 million people received warning over cellphones
- Shinkansen trains stopped without derailment
- Warning info used effectively at schools
- Control rods inserted at nuclear plant

No warning in Tokyo?

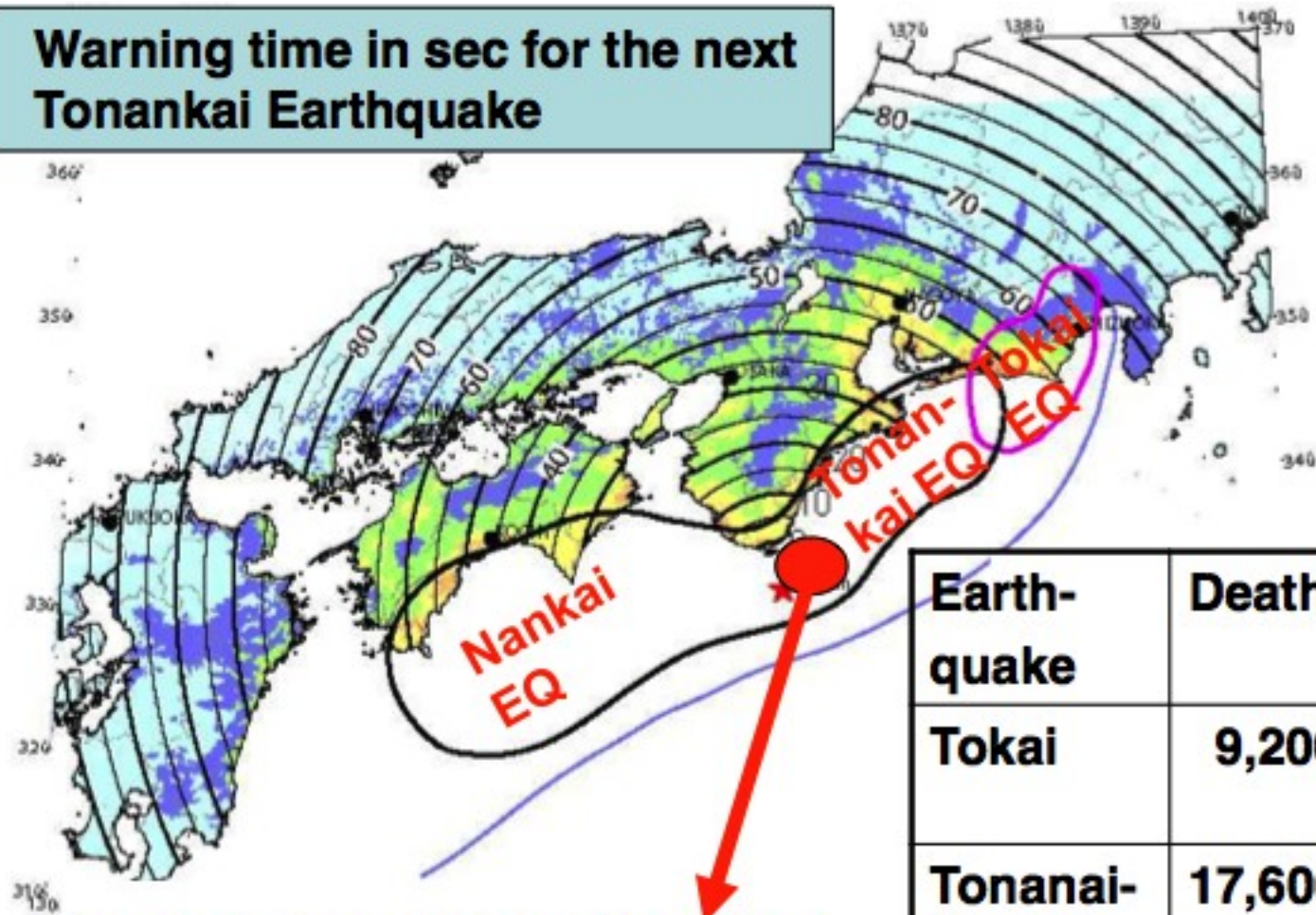




Summary of M9.0 Tokohu event (future challenges for EEW)

- First of all, EEW was in general successful
- Need to develop methods to estimate fault finiteness in real-time
- Need to improve robustness of system in aftershock sequences (concurrent events)
- Improved integration of all data, better ocean-floor observation

Warning time in sec for the next Tonankai Earthquake

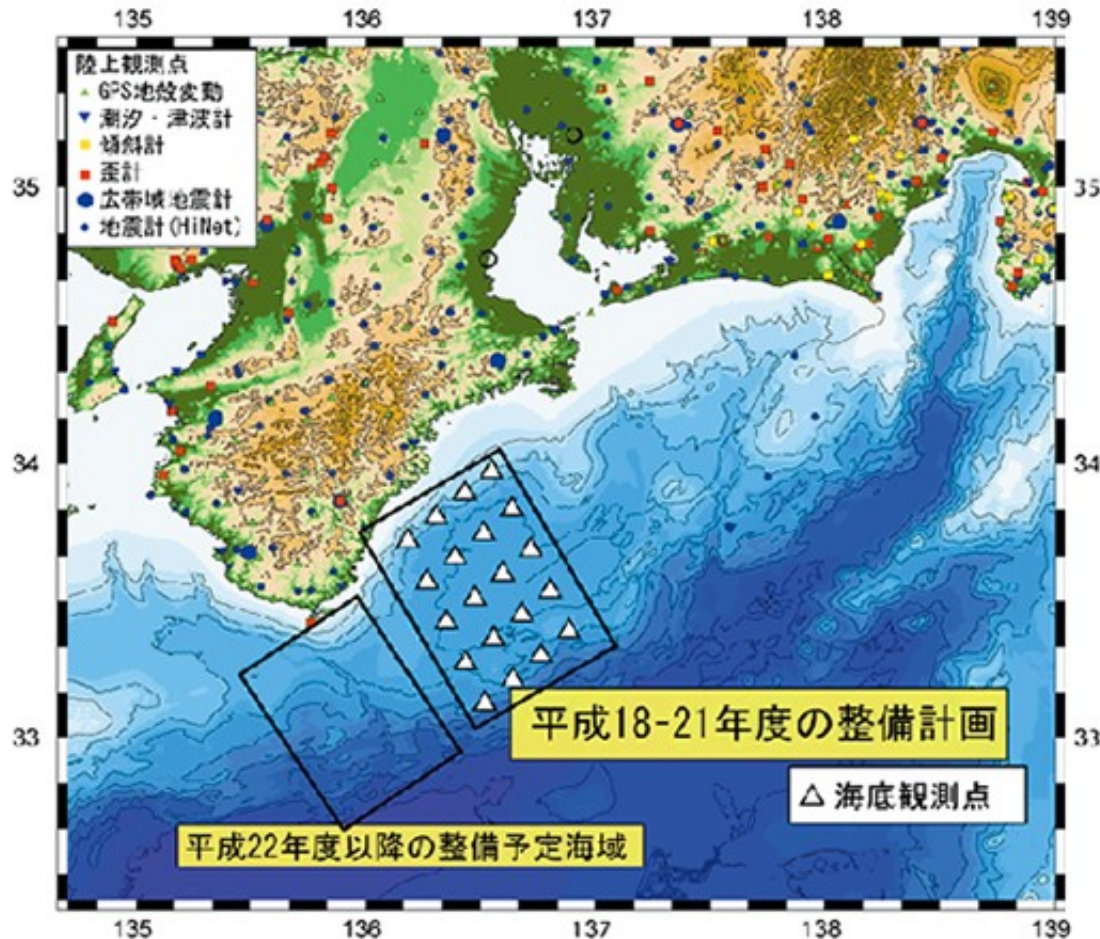


| Earthquake | Deaths | Damage (Yen) |
|----------------|--------|---------------|
| Tokai | 9,200 | 37 trillion |
| Tonanai-Nankai | 17,600 | 57 trillion |

Issue information that the Tonankai Earthquake occurs when small part of its fault area is ruptured. Its brings long warning time.

Meguro(2004) pointed out that the installation of EEW decreases death tolls by the next Tokai EQ by 82%.

Dense Ocean Floor Network Systems for Earthquakes and Tsunamis (DONET)



DONET stations could provide 5-10 sec additional warning time



CISN ShakeAlert

Currently testing components of a warning system in California

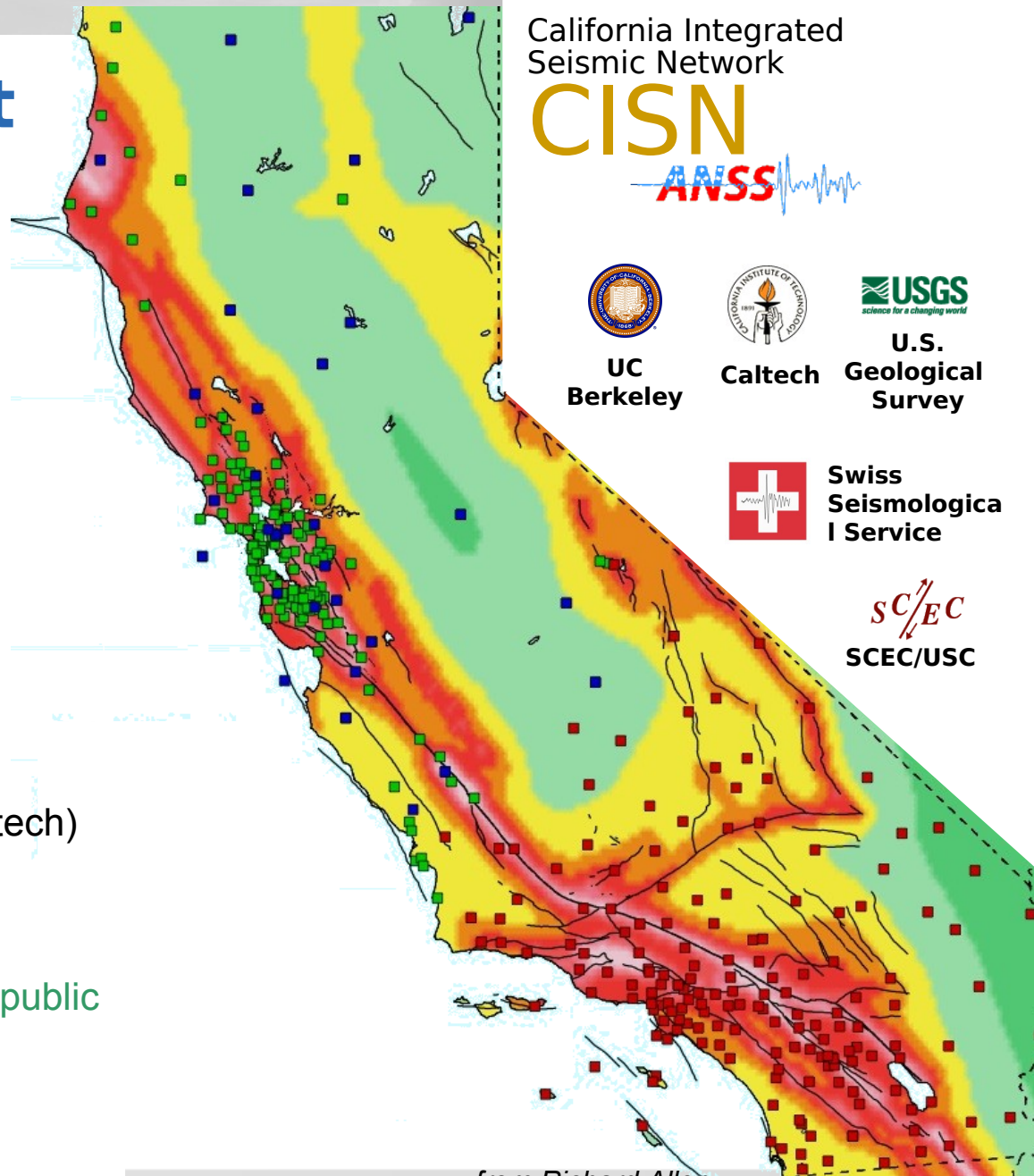
- 400 seismic stations
- warning received on computer desktops
- warnings to small test user group this year

3 algorithms

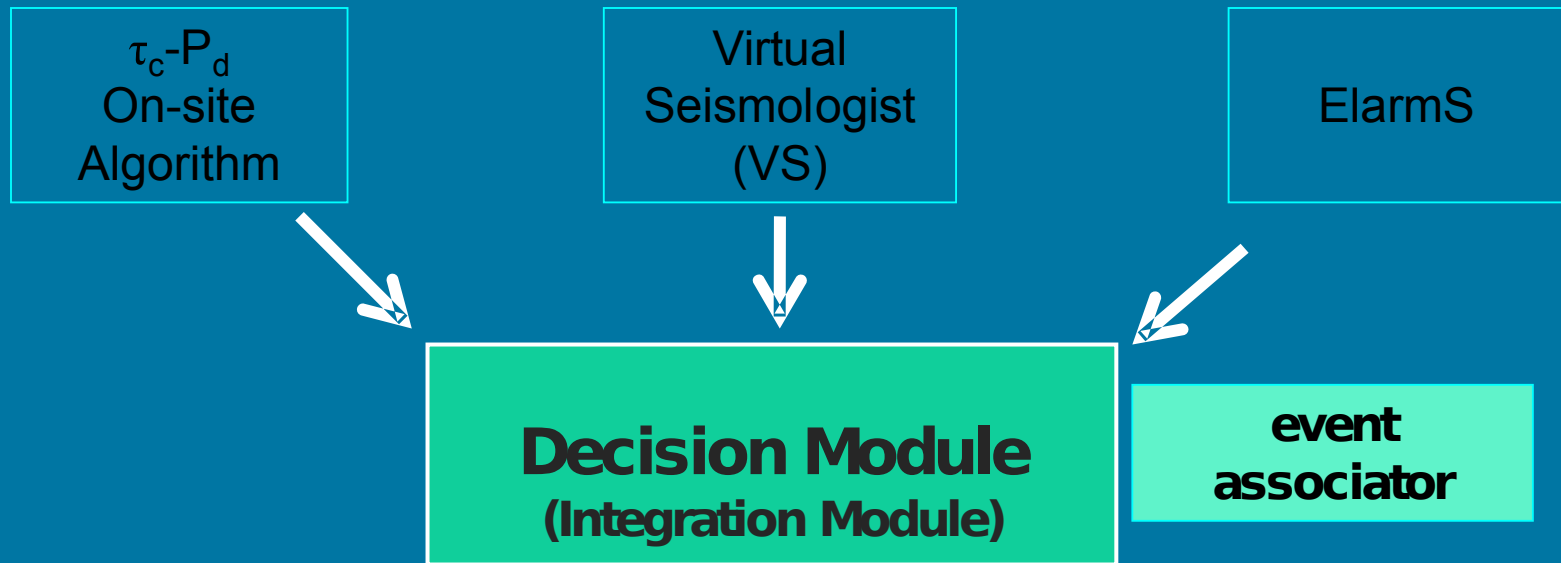
- On-site (Caltech/U. Taiwan)
- Virtual Seismologist (ETH/Caltech)
- Elarms (UC Berkeley)

Implementation of a Japan-type public statewide system (starting from current network):

- will take 5 years
- cost \$80 million



from Richard Allen



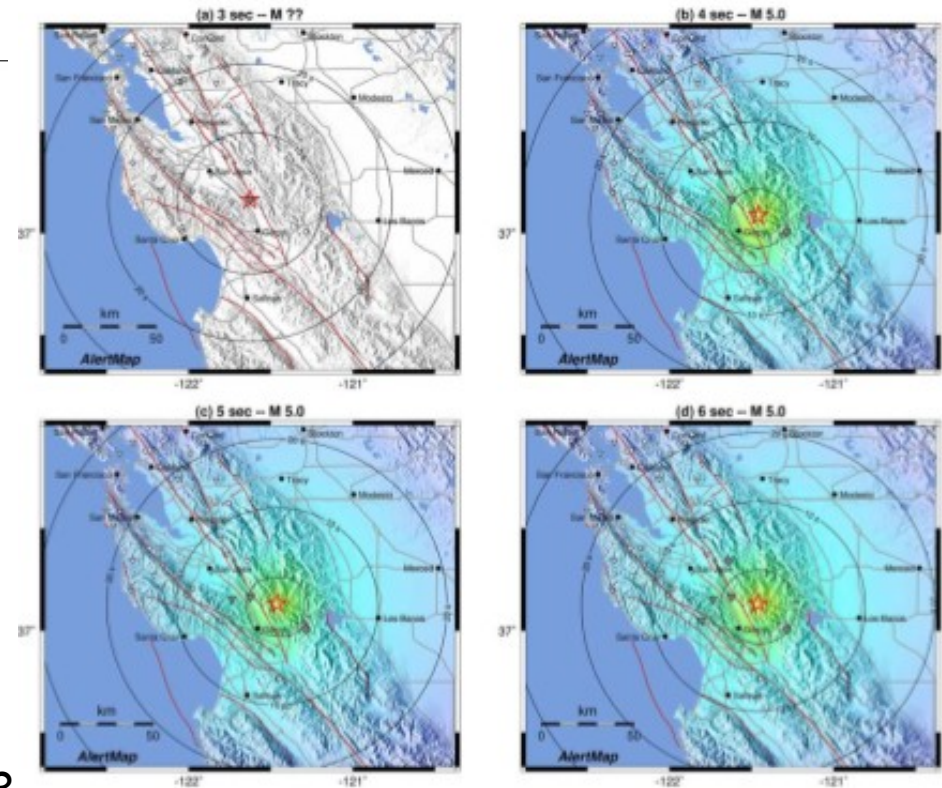
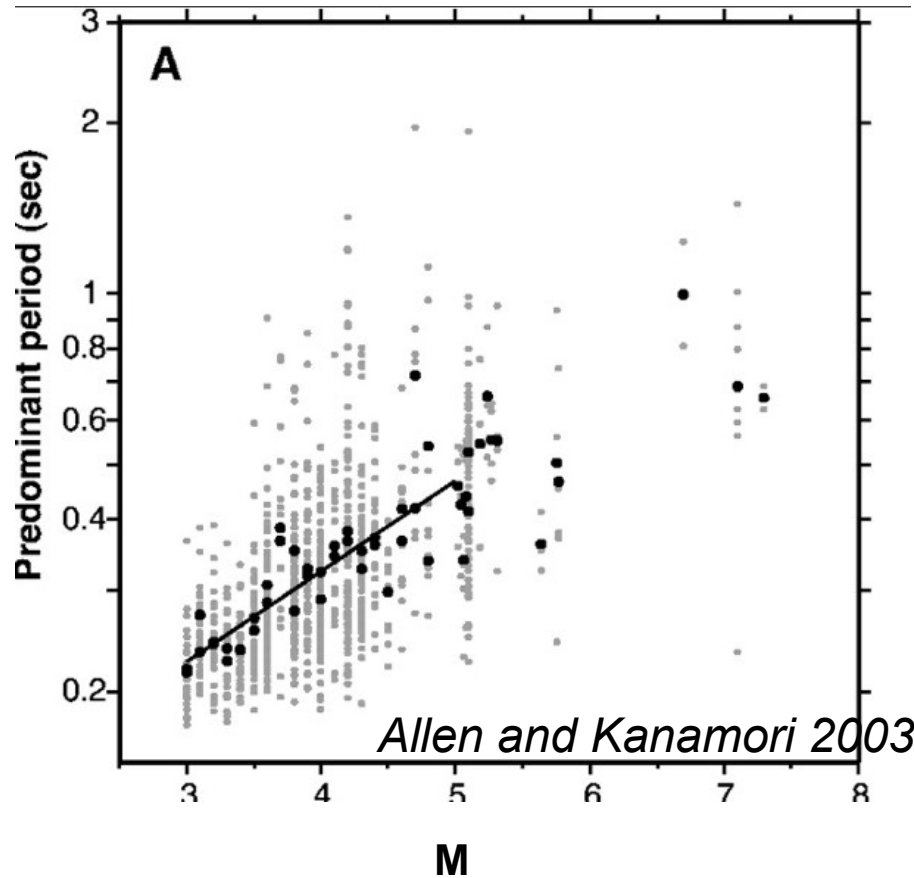
Current output from the DM:

To do:
Bayesian framework
include
a priori
probabilities

weighted averages and uncertainties of

- magnitude
- location
- O.T.

probability of false alert
event cancellation



| PERCEIVED SHAKING | Not felt | Weak | Light | Moderate | Strong | Very strong | Severe | Violent | Extreme |
|------------------------|----------|---------|---------|------------|--------|-------------|----------------|---------|------------|
| POTENTIAL DAMAGE | none | none | none | Very light | Light | Moderate | Moderate/Heavy | Heavy | Very Heavy |
| PEAK ACC.(%g) | <.17 | .17-1.4 | 1.4-3.9 | 3.9-9.2 | 9.2-18 | 18-34 | 34-65 | 65-124 | >124 |
| PEAK VEL.(cm/s) | <0.1 | 0.1-1.1 | 1.1-3.4 | 3.4-8.1 | 8.1-16 | 16-31 | 31-60 | 60-116 | >116 |
| INSTRUMENTAL INTENSITY | I | II-III | IV | V | VI | VII | VIII | IX | X+ |

Single station approach

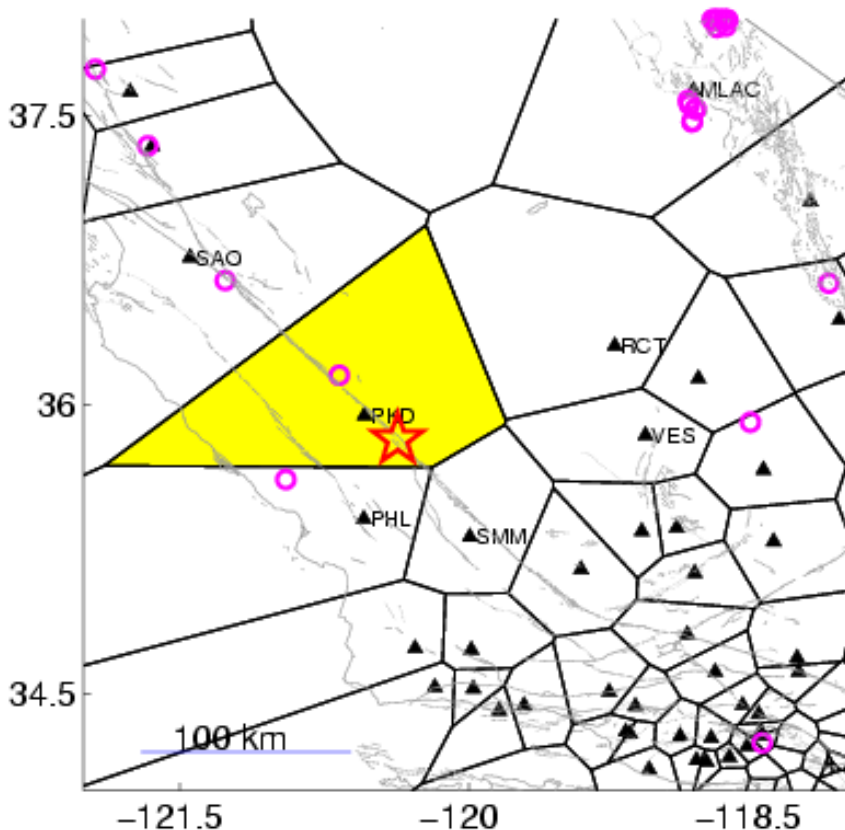
OnSite (Caltech) algorithm predicts PGM at same site

Network approach

ElarmS (UCB) predicts PGM throughout region

Virtual Seismologist EEW algorithm

- Regional, network-based Bayesian approach
- Shape and frequency content of envelopes / “background” information
- Implemented by ETH via SAFER
- Real-time testing via CISN EEW project
- Real-time in So.Cal. July 2008, in No.Cal. March 2009
- Real-time in Switzerland since August 2010



Bayes' Theorem in EEW

$$\text{prob}(M, lat, lon | obs) \propto \text{prob}(obs | M, lat, lon) \cdot \text{prob}(M, lat, lon)$$

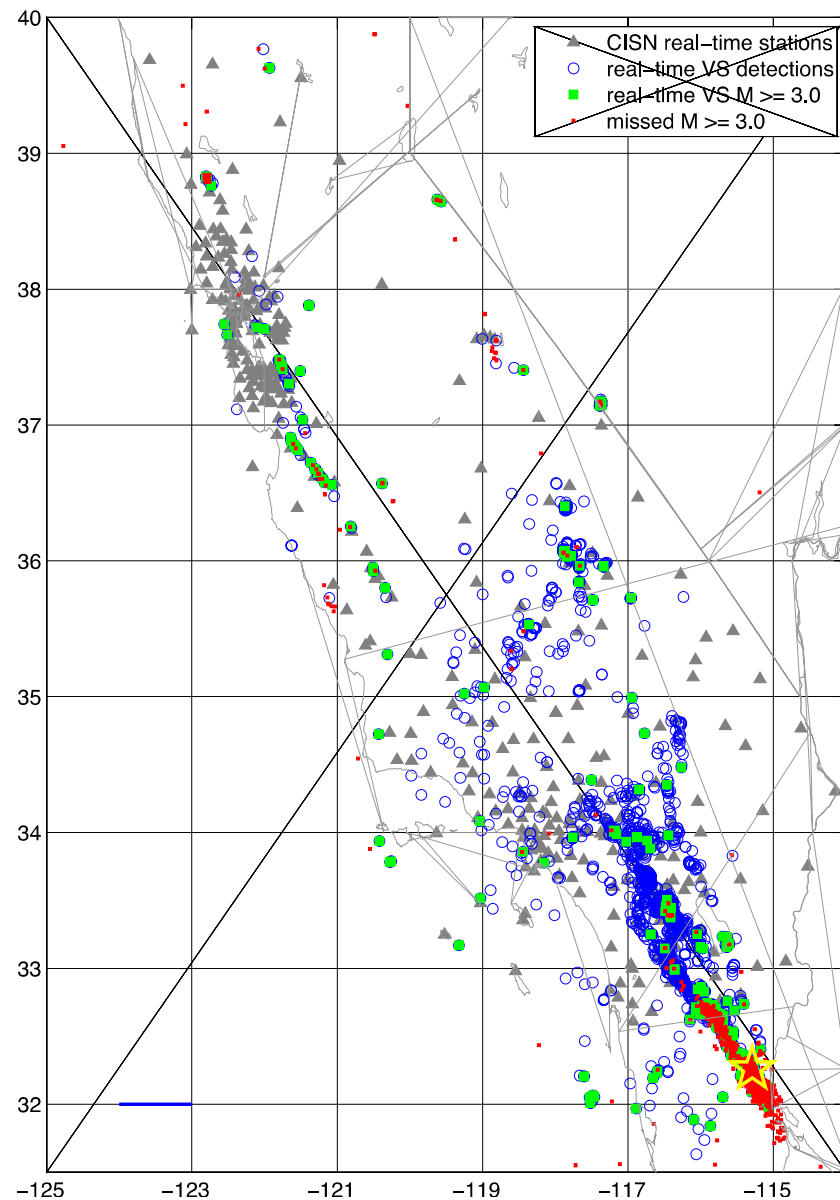
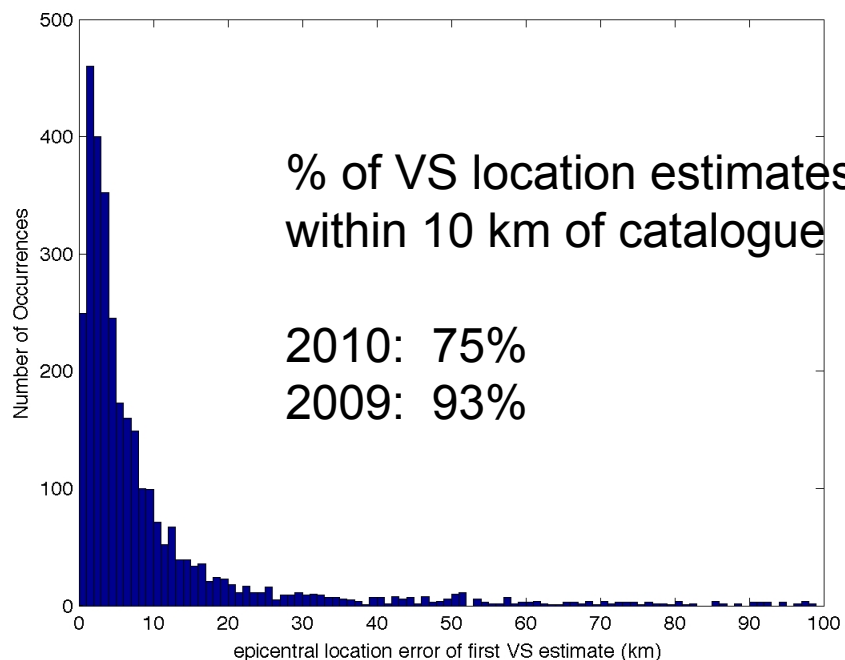
Posterior (“answer”)

Likelihood (“data”)

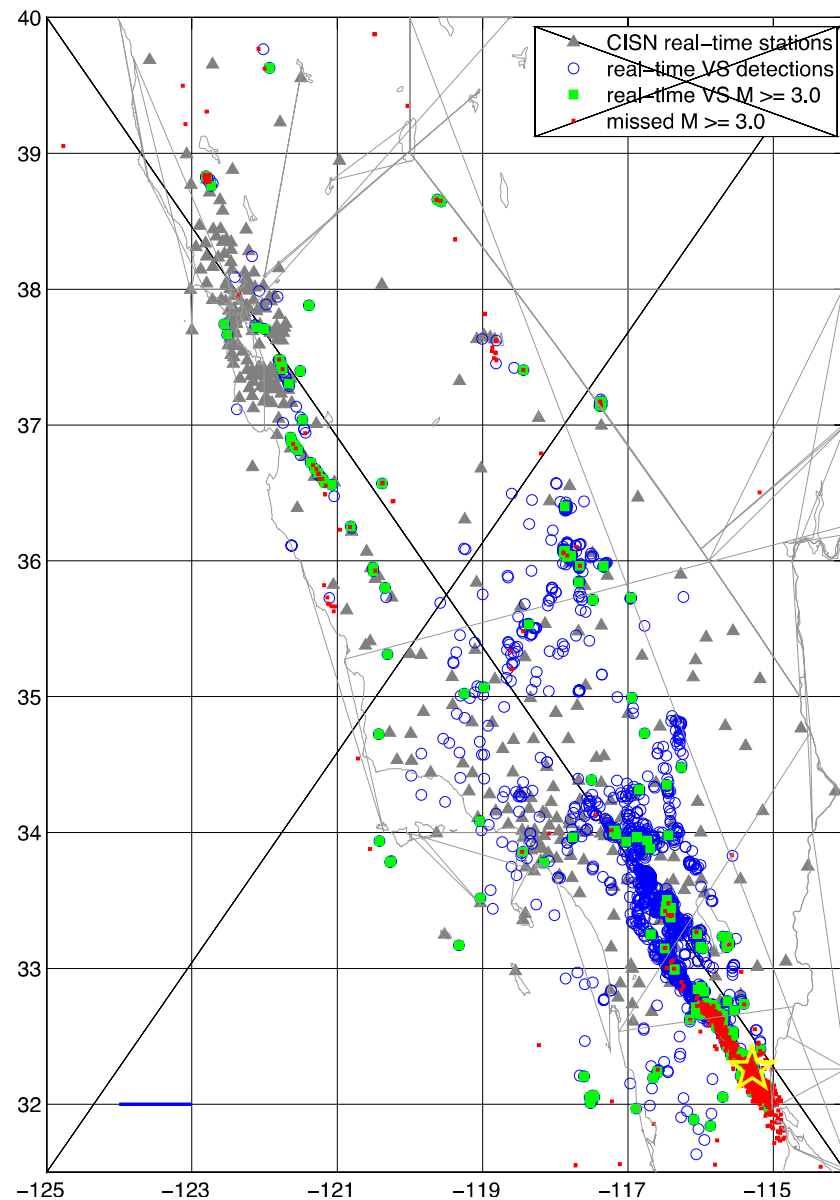
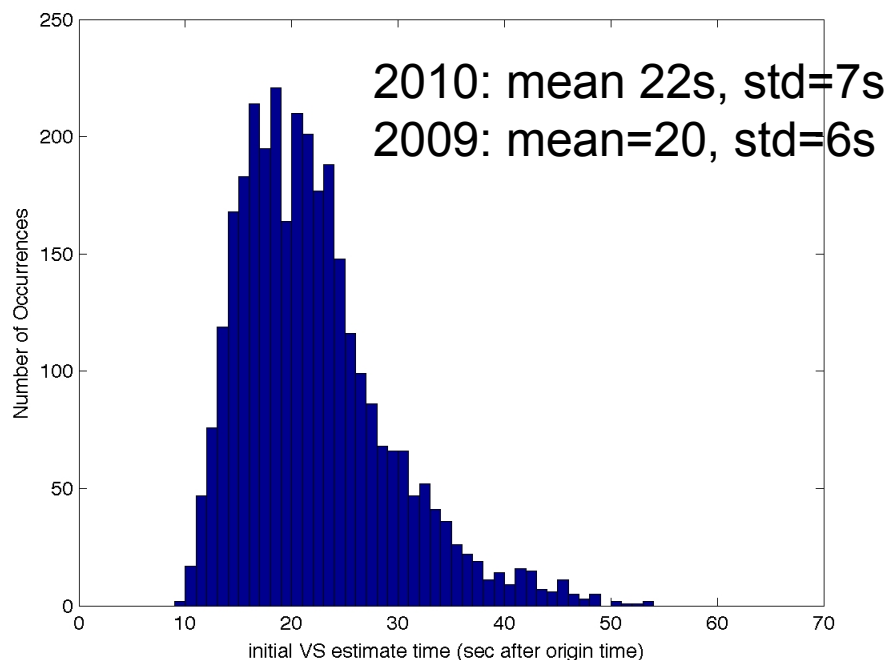
Prior (“other” information)



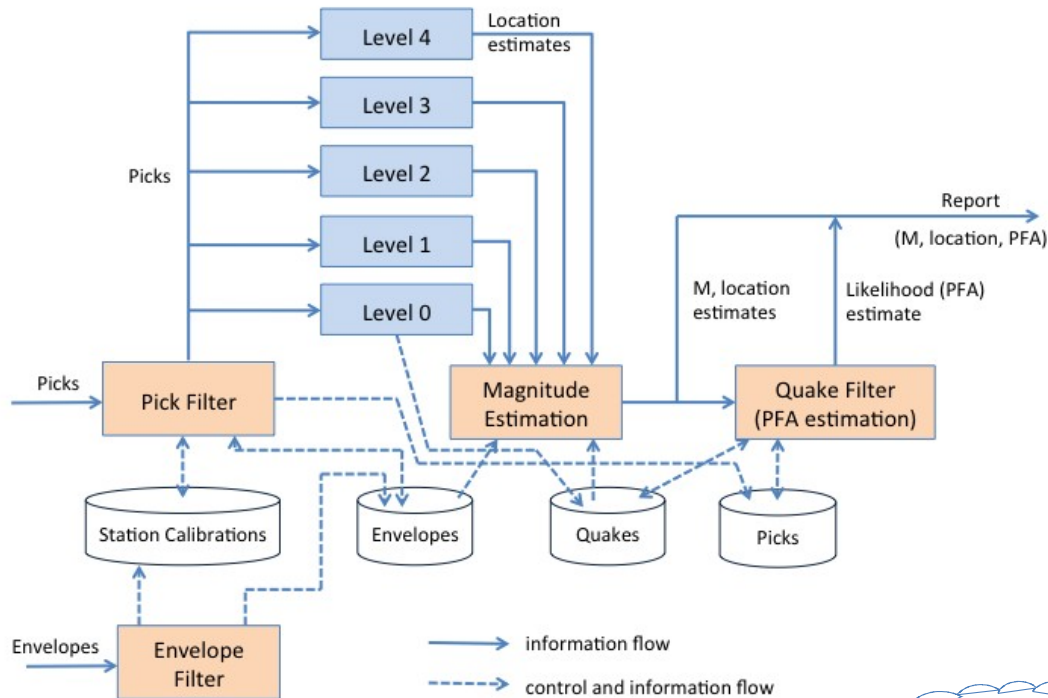
- Current “operational” version in ShakeAlert requires minimum of 4 stations for first estimate (available ~20 sec after OT)
- Correctly detected more than 3000 events in real-time in CA in 2010, 469 with $M \geq 3.0$ (including M7.2 Sierra El Mayor)
- Real-time testing of VS-MTED



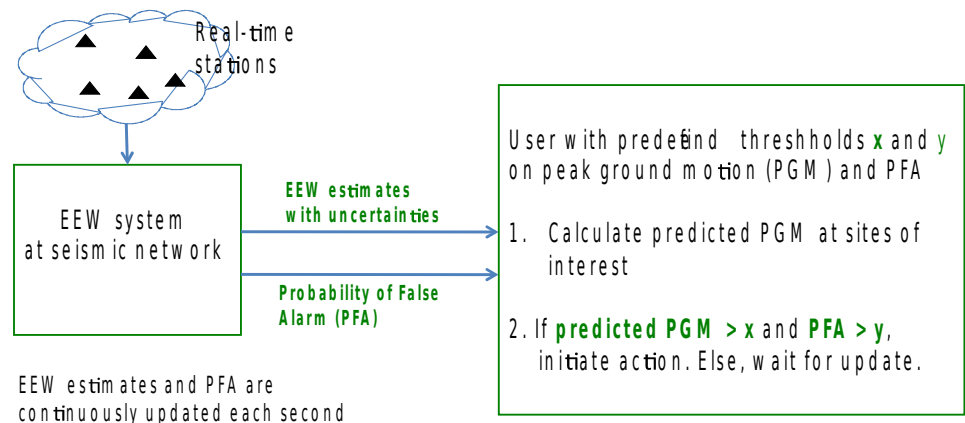
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VS-MTED (Multiple Threshold Event Detection)



- Single station event declaration if amplitudes are high enough
- Evolves to “standard” VS as additional data available
- Requires estimates of probabilities of false alarms



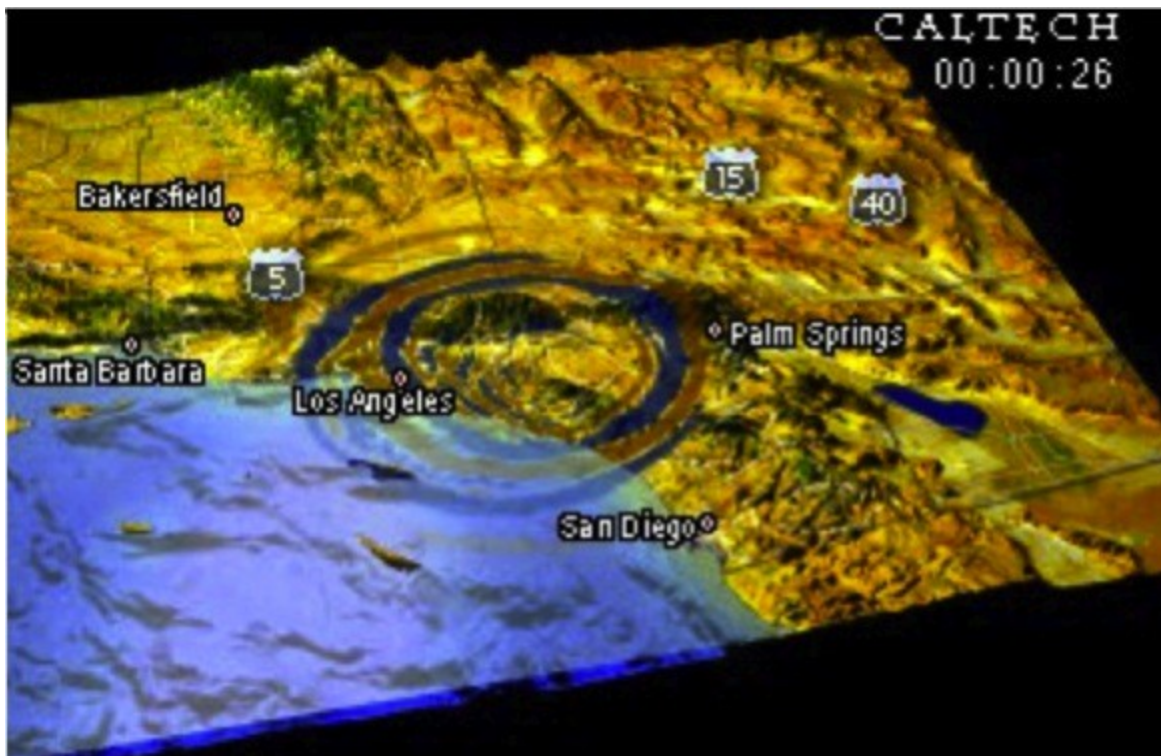


VS coming soon to Europe

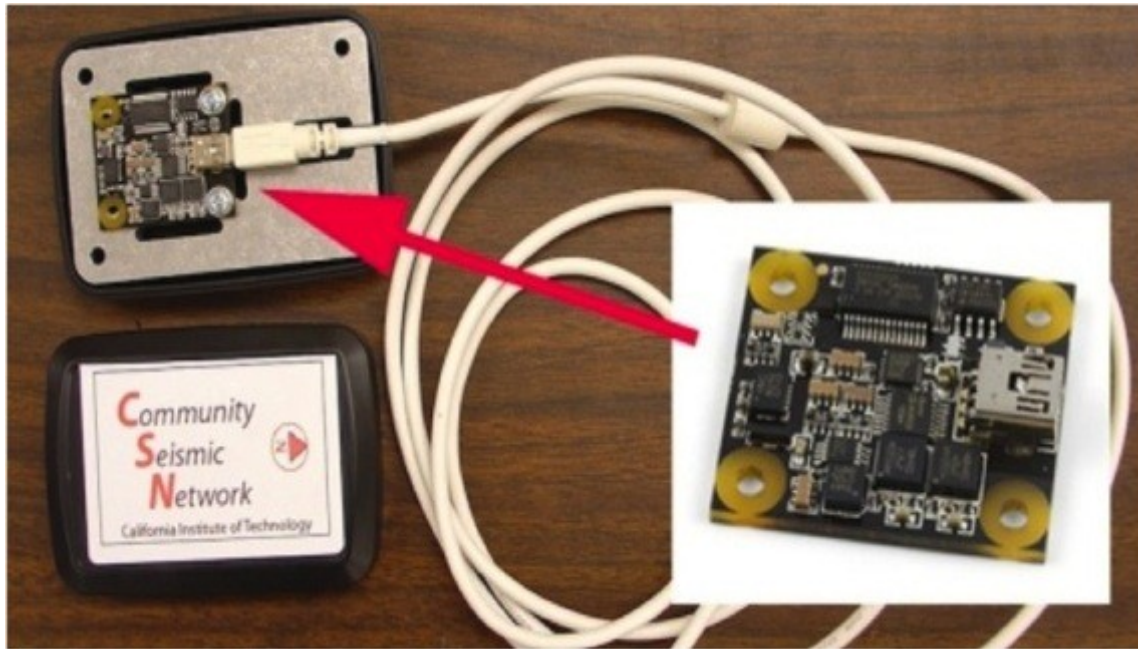
- Real-time testing in Switzerland since Aug 2010
- Integration into SeisComP3 and earthworm through NERA JRA2
- Additional real-time installations in Naples, Istanbul, Iceland, Patras through REAKT WP4 & WP7

Community Seismic Network (CSN)

Detect and monitor earthquakes using smart phones, USB sensors, and cloud computing.



Community Sensors

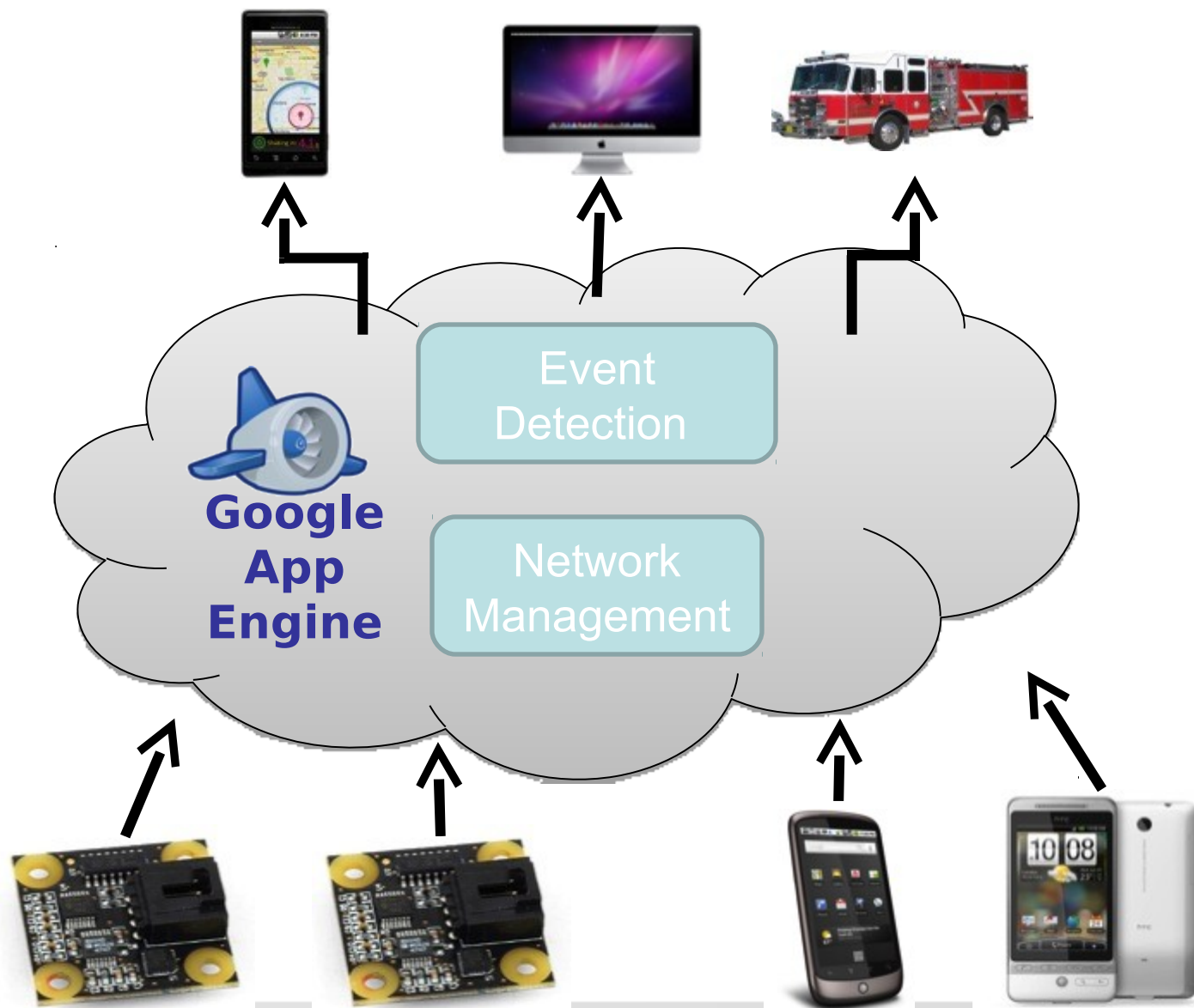


Phidgets, Inc. 16-bit USB accelerometer

Android phones and tablets



CSN Network Overview



CSN Applications

Earthquake early warning tens of seconds of warning

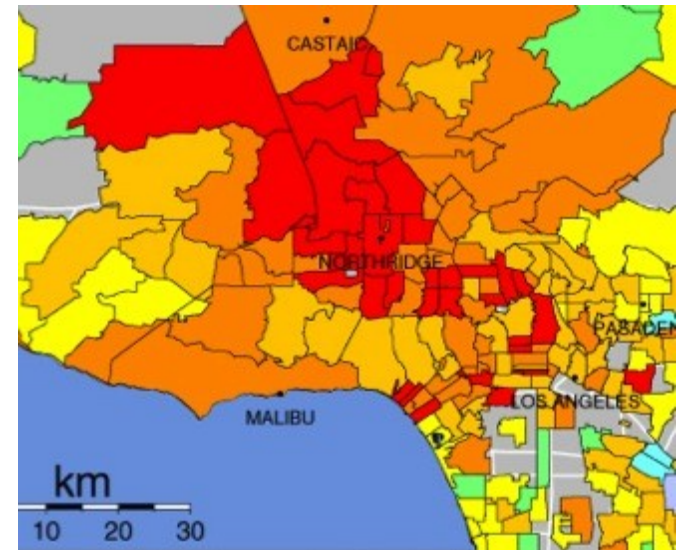
Rapid, detailed ShakeMaps block-by-block maps of acceleration guide emergency teams after quake

Detailed subsurface maps

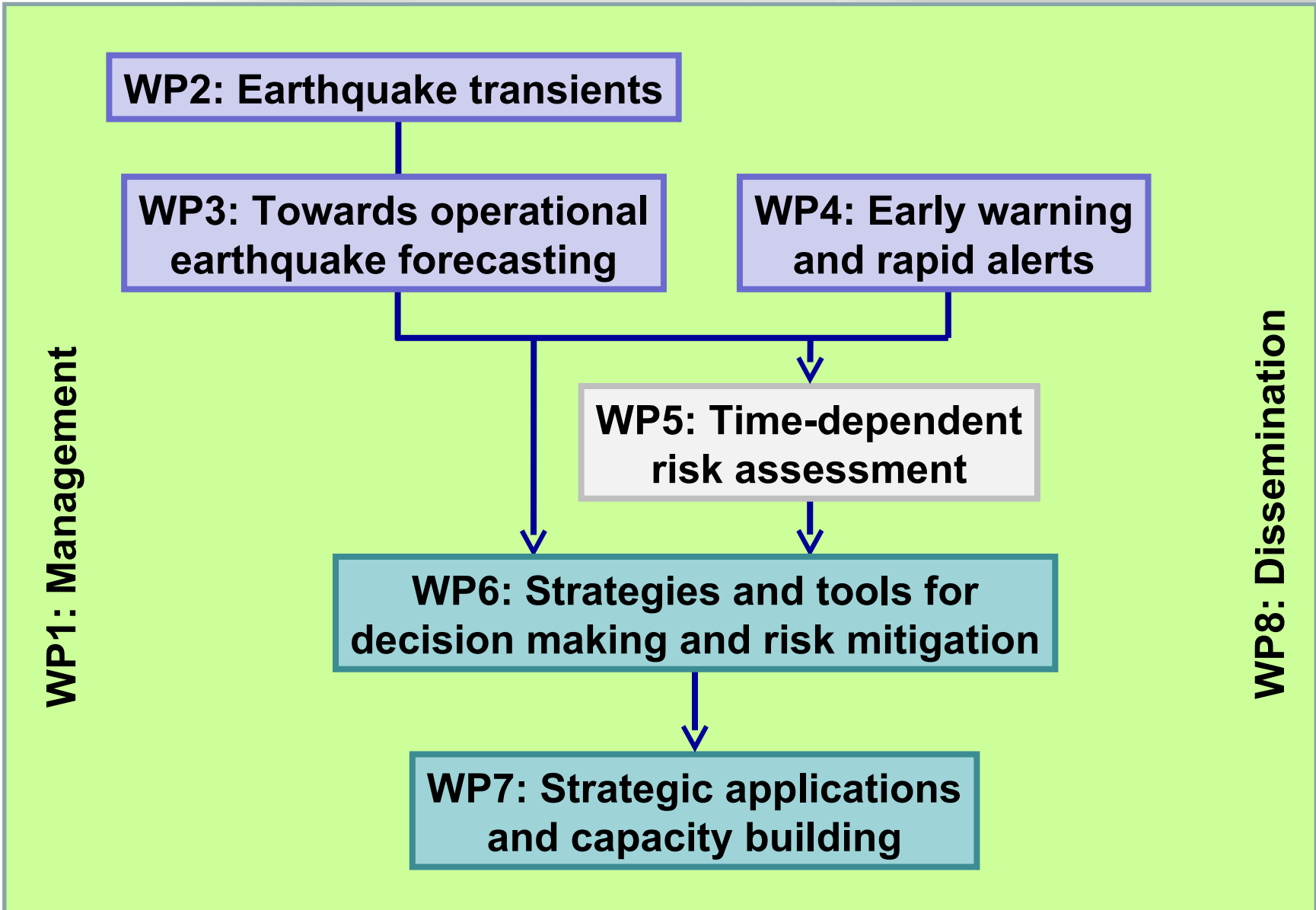
Determine subsurface structures and soil conditions that enhance ground shaking.

Images of Fault Rupture

**Building/Structure
Monitoring**



Didyoufeelit.com



Important Features of the Project

- ❖ **Relates earthquake early warning to real-time risk reduction**
(„end to end“ EWS: from data to risk reduction)!
- ❖ **Includes concept development for real-time risk reduction**
related to a few specific and strategic structures (railway system,
large school, port authority,) or applications (civil protection,..)
in a few areas in partnership with end users (from the beginning on)
- ❖ **End users should not only be “civil protection“, but also others**
(director of a large school, security officer of a high rise building,
security officer of a railway system,.....)

WP7: Strategic applications and partnerships

- **SwissNuclear (ETHZ)**
- **SINES Industrial Complex, Portugal (IST)**
- **Circumvesuviana Railways (AMRA)**
- **EEW in schools (AMRA, GFZ)**
- **Department of Civil Protection Italy (AMRA, DPC)**
- **IGDAS Natural Gas Network, Istanbul (KOERI)**
- **Thessaloniki Port (AUTH, GFZ)**
- **AHEPA Hospital, Thessaloniki (AUTH, GFZ)**
- **Iceland (IMO, ETHZ)**
- **Regional EEW for eastern Carriibbean (EUCENTRE, UWI)**
- **Patras EEW, Rion Antirion bridge (UPAT, ETHZ)**
- **Fatih Sultan Mehmet Bridge (KOERI)**



Closing thoughts...

- EEW systems are slowly but steadily becoming a reality
- Still some methodology developments required
 - Real-time finite fault characterization
 - Robust performance during aftershock sequences
 - Faster warning times (methodology, decreasing data latencies)
 - Optimal use of different types of data (possibly OBS, GPS, strainmeters, cell phones, low cost but dense deployments, mobile aftershock deployments, etc..)
- Let's learn from each other's efforts!
-



Thank You



緊急地震速報とは？

地震をすばやくキャッチし、強いゆれが始まることを数秒～数十秒前にお知らせする新しい情報です。
テレビ・ラジオなどを通じて受けられる予定。 ※ただし、震源に近い場所では強い揺れに間に合わないことがあります。詳しくは気象庁のホームページ (<http://www.jma.go.jp/>) をご覧ください。



あたまをまもる かぐからはなれる へいからはなれる 落ちてくるものにはやうい あわてて外にとびたさない 近くの階でおりる 急ブレーキをかける ハザードランプをつけて減速



EEW in California

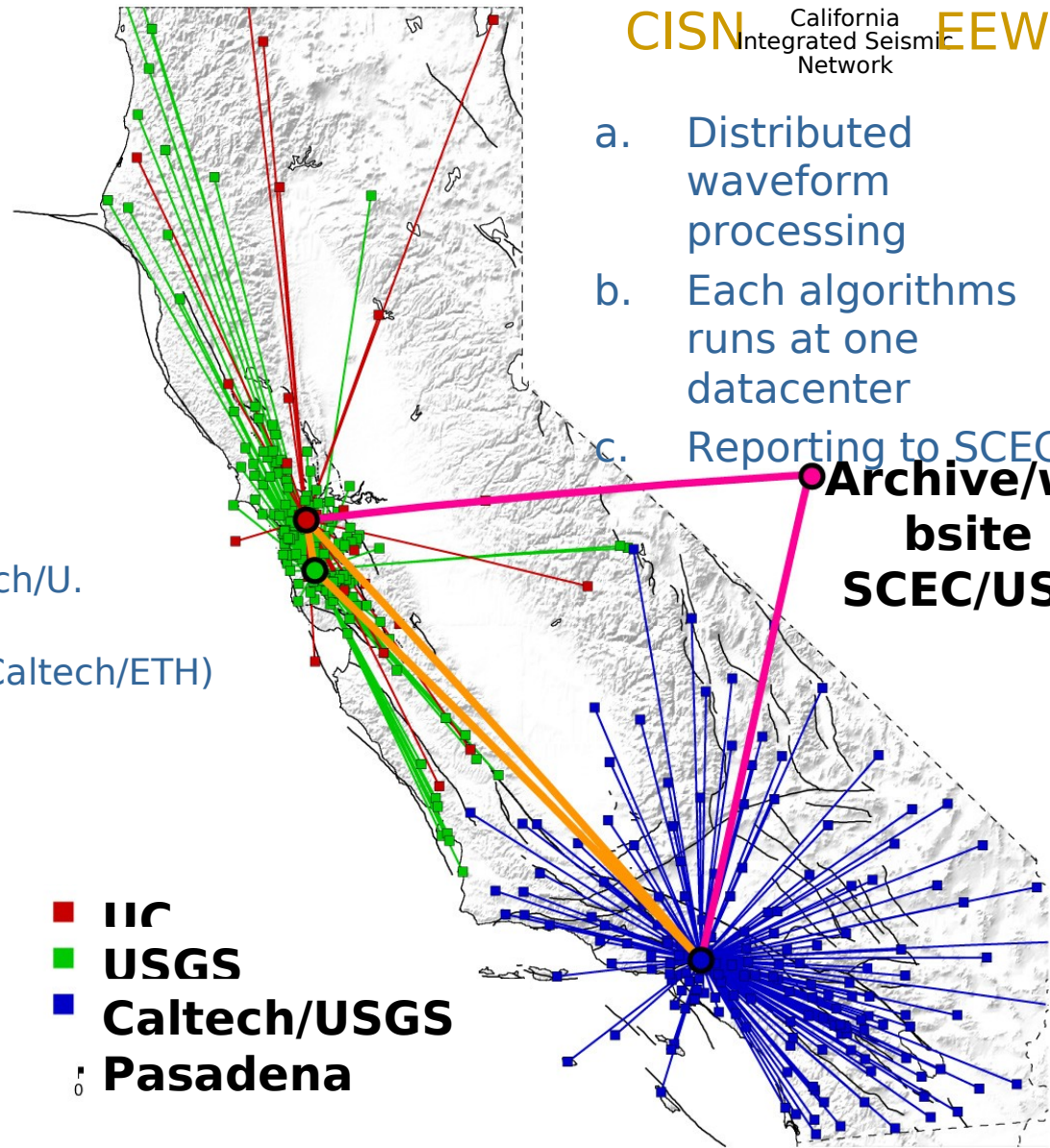
Goal: evaluate real-time performance of early warning methods

Three algorithms:

1. **Onsite warning** (Caltech/U. Taiwan)
2. **Virtual Seismologist** (Caltech/ETH)
3. **ElarmS** (UC Berkeley)

Algorithm Evaluation:

Alert information and summaries (SCEC/USC)



CISN California Integrated Seismic Network **EEW**

- a. Distributed waveform processing
- b. Each algorithms runs at one datacenter
- c. Reporting to SCEC

**Archive/web site
SCEC/USC**

- **IIR**
- **USGS**
- **Caltech/USGS Pasadena**